

CODE OF CONDUCT FOR MEMBERS OF THE EUROPEAN COMMISSION ANNEX 1 – DECLARATION OF INTERESTS

ll nan	ne: Thierry Breton			(*	Mandatory
I.	PREVIOUS ACTIVITIES (Article	3(4)(b) a	nd (c) of the Cod	<u>e)</u>	
I.1.	Posts held over the last 10 years, in fou (Please indicate the nature of the post, th			ective/activity.)	NOT APPLICABLE
I.2.	Posts held over the last 10 years in educ (Please indicate the nature of the post an				
	Nature of the post (during the above-mentioned per	riod)	_	Name of the ins	titution
	July 2007 – 2009 Professor Courses taught: 'Leadership and corporaccountability'	rate	Harvard Business	s School, United	States of America
	2018 – 24 October 2019 Chairman of the Strategy Committee of Sorbonne University. Coordinator of th 'Welcome to the Future' campaign Non-remunerated office		Sorbonne Univer	sity, France	
I.3.	Posts held over the last 10 years in the bodies devoted to commercial or econo (Please indicate the nature of the post an other body.)	mic activi d the name	ties and the business of	the company or	
	Nature of the post (during the above-mentioned period)		of the company or other body	Business of th	e company or other body
	16 November 2008 – 31 October 2019 Chairman of the executive board until the company's statute changed on 10 February 2009, then chief executive officer until 31 October 2019	Atos Ori SE	gin SA, then Atos	Digital and IT	services company, France
	May 2014 – 24 October 2019 Chairman of the board of directors Non-remunerated office	Worldlin	ne SA		ces and electronic ompany, France

Nature of the post (during the above-mentioned period)	Name of the company or other body	Business of the company or other body
Concerning his previous relations with the Atos group, Mr Breton will apply exactly the same intransigence as when he became Minister of Economy, Finance and Industry in France, coming from the private sector.		
In the present case, Mr Breton will apply with utmost strictness the Code of Conduct for the Members of the Commission, in particular Article 2(6) concerning the obligation to avoid any situation which may give rise to a conflict of interest or which may reasonably be perceived as such. He will thoroughly follow the procedure set out in Article 4 of the Code in case of potential conflicts of interest. In this context, and in agreement with the President, he will recuse himself automatically from any financial, contractual or similar management decision which would directly concern Atos or one of its entities.		
August 2014 – March 2017 Chairman of the board of directors Non-remunerated office	Bull	Company specialised in business IT, France (part of Atos)
March 2015 – 24 October 2019 Chairman Non-remunerated office	National Association of Research and Technology (ANRT), France	The ANRT is a non-profit association bringing together France's public, private and academic research and innovation stakeholders for the purpose of raising French society's awareness of the prospective use of technologies.
July 2008 – 24 October 2019 Director Chair of the remuneration committee from 21 June 2011 to 24 October 2019	Carrefour SA	Retail sector, France
January 2013 – 24 October 2019 Member of the Global Advisory Council	Bank of America	Financial services, United States of America
2013 – April 2018 Director	SATS Limited	Ground-handling airport service provider, Singapore
1 January 2012 – 31 December 2012 Member of Strategy Committee	Bolloré	Transport, logistics and communications, France

2015 – 24 October 2019 Director	Sonatel	Telecommunications company, Senegal
January 2019 – 24 October 2019 Director of the French subsidiary	Bank of America Securities Europe SA	Financial services, France

I.4. Other professional activities held over the last 10 years, including services, liberal profession, consulting activities

(Please indicate the nature of the activity.)

Nature of the other professional activities (during the above-mentioned period)

November 2012: Member of the steering board of the European Cloud Partnership Non-remunerated activity

2013: Appointed by Digital Society Commissioner Neelie Kroes and Estonian President Toomas Ilves to help Europe realise its vision in the field of the Open Cloud Non-remunerated activity

October 2013: Cloud computing project leader under the 'Nouvelle France Industrielle' industrial policy introduced by French Minister for Economic Regeneration Arnaud Montebourg Non-remunerated activity

May 2015: In charge of the 'Data economy' strand of the 'Industrie du Futur' initiative introduced by French Minister for the Economy Emmanuel Macron

Non-remunerated activity

January 2018 - June 2018: Chairman of the international jury for the European Patent Office's 2018 European Inventor Award (the Office awards this prize each year in five categories: Industry, SMEs, Research, Lifetime achievement and Non-EPO countries)

Non-remunerated activity

All the above activities have now ended.

II. CURRENT OUTSIDE ACTIVITIES in line with Article 8 of the Code (Article 3(4)(b) and (c) of the Code)

(Unpaid courses, publications and speeches – Article 8(2)(a) to (c) of the Code – do not have to be declared.)

II.1. Honorary posts currently held in foundations, similar bodies or educational or research establishments (Article 8(2)(d) of the Code)

(Please indicate the nature of the post, the name of the body and its objective/activity.)

Nature of the honorary post	Name of the body	Body's objective/activity
Since January 2016: Member of the Académie Française (lifetime appointment) Non-remunerated post	Académie des Technologies, France	National public administrative institution for the purpose of raising society's awareness of how best to use technologies
Note that reports in the press suggested that Thierry Breton was to chair a committee of wise men set up to manage a foundation for the purpose of ensuring the survival and preventing the break-up of luxury goods group LVMH in the event of its head's accidental death. As this structure was never set up, these reports are null and void.		

Publications:	
1984: Softwar (La Guerre douce),	
Thierry Breton - Denis Beneich,	
Robert Laffont, Paris	
1985: Vatican III, Thierry Breton,	
Robert Laffont, Paris	
1987: Netwar (La guerre des réseaux),	
Thierry Breton, Robert Laffont, Paris	
1991: La Dimension invisible (Le défi du	
temps et de l'information), Odile Jacob,	
Paris	
1992: La Fin des illusions, Plon, Paris	
1993: Le Télétravail en France, La	
Documentation française.	
1994: Le Lièvre et la Tortue: les atouts	
inattendus des Français, Plon, Paris	
1994: Les Téléservices en France, ou une	
anticipation précoce du monde de l'internet,	
La Documentation française.	
2007: Antidette, Plon.	

II.2. Additional relevant information on other functions (e.g. other functions of an honorary nature and/or attributed for life)

Additional functions e.g. other functions of an honorary nature and/or attributed for life	Description
Grand Officer of the National Order of Merit (2012)	France
Commander of the Legion of Honour (2015)	France
Commander of the Order of the Lion (2016)	Senegal
Commander of the Order of Ouissam Alaouite (2010)	Morocco
Commander of the Order of Civil Merit (2006)	Spain
Grand Officer of the National Order of the Southern Cross (2006)	Brazil
Grand Cross of the Order of Merit (2006)	Chile
In 2015 the President of Senegal honoured Thierry Breton and his wife by granting them Senegalese citizenship (in addition to their French citizenship) in recognition for their commitment to the country over a period of thirty years.	

III. FINANCIAL INTERESTS (Article 3(4)(a) and (c) of the Code)

Please indicate all financial interests, including assets as well as liabilities, which could be considered to be capable of giving rise to a conflict of interest. Bank accounts, specific goods or loans for the purchase of real estate for private purposes do normally not have to be declared.

Investments of a value of more than EUR 10,000 have to be declared in all cases.

Please indicate in both cases

- the kind of interest (e.g. shares, bond, loans);
- the entity concerned (e.g. company, bank, fund);
- if the investment is managed on an independent basis by a third party, the name of the entity does not have to be declared unless the investment is linked to specific industries like sector or thematic funds;
- the size of the interest (e.g. number of shares and their current value, percentage of participation).

Assets

(1) which could be considered to be capable of giving rise to a conflict of interest (2) in any case, where the value of an investment exceeds EUR $10,\!000$

Shares

(1) which could be considered to be capable of giving rise to a conflict of interest (2) in any case, where the value of an investment exceeds EUR 10,000

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Entity concerned	Number of shares	Total current value	Currency
Atos and Worldline	zero	0	EUR
As he let know when nominated to be a member of the European Commission, Thierry Breton no longer holds any shares in any company. He has, in particular, divested himself of all his shares in Atos and Worldline. The proceeds have been placed in savings products under an exclusive management mandate to prevent any risk of conflicts of interest.			

Entity concerned	Number of shares	Total current value	Currency
Lastly, Thierry Breton has two Atos multi- year performance share plans awarded by the Atos board of directors in 2017 and 2019, which he could potentially vest respectively in 2020 and 2022, subject to several future performance conditions to be met. To prevent any potential risk of conflicts of interest, Mr Breton told Atos's board of directors that he wished to forgo all the shares due under those plans if confirmed as a member of the European Commission, a request granted by a board decision of 31 October 2019. Mr Breton will therefore give up all rights under those two plans on the date of his possible confirmation as a member of the European Commission.			

Bonds

(1) which could be considered to be capable of giving rise to a conflict of interest (2) in any case, where the value of an investment exceeds EUR 10,000

NOT APPLICABLE

Other assets

(1) which could be considered to be capable of giving rise to a conflict of interest (2) in any case, where the value of an investment exceeds EUR 10,000

Kind of asset/investment Size of interest Currency Note, moreover, that Thierry Breton has a defined benefit pension plan acquired during his final 11 years with Atos. As Mr Breton claimed his retirement pension on leaving Atos, payments under the plan will be handled by the Axa insurance company alone, which will therefore be solely responsible for payment, unrelated to Atos or its economic results. This plan entitles Mr Breton to a monthly retirement pension. Mr Breton has said that, if confirmed as a member of the European Commission, he would ask Axa to suspend payment for the duration of his mandate. In other words, payment of the pension would not begin until the end of his mandate.

Liabilities

which could be considered to be capable of giving rise to a conflict of interest

Loans

which could be considered to be capable of giving rise to a conflict of interest

NOT APPLICABLE

Other liabilities

which could be considered to be capable of giving rise to a conflict of interest

NOT APPLICABLE

IV. FINANCIAL INTERESTS OF SPOUSES, PARTNERS(*) AND MINOR CHILDREN WHERE
THOSE MIGHT BE CONSIDERED TO BE CAPABLE OF GIVING RISE TO A CONFLICT OF
INTEREST (Article 3(4)(a) of the Code)

(*) Stable non matrimonial partner as defined in Article 1(2)(c) of Annex VII of the Staff Regulations. (Please provide in that case, in principle, the same information as under III.)

Financial interests of spouses/partners where those might be considered to be capable of giving rise to a conflict of interest

where those might be considered to be capable of giving rise to a conf	flict of interest
<u>Assets</u>	
Shares	
	NOT ADDITION DI E
	NOT APPLICABLE
Bonds	
Donus	
	NOT APPLICABLE
Other assets	
	NOT APPLICABLE
<u>Liabilities</u>	
Loans	
	NOT APPLICABLE
Other liabilities	
	NOT APPLICABLE
	NOT ATTLICABLE
Financial interests of minor children	
where those might be considered to be capable of giving rise to a conf	flict of interest
Assets	
Shares	
	NOT APPLICABLE
F	
Bonds	
	NOT A DDI ICA DI E
	NOT APPLICABLE
Other exects	

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	<u>Liabi</u>	<u>llities</u>	
	Loa	ans	
			NOT APPLICABLE
	Other li	abilities	
			NOT APPLICABLE
V. MEMBERSHIP OF ASSOCI GOVERNMENTAL ORGAN			
PUBLIC OR PRIVATE, ARE	E INTENDED TO	INFLUENCE OR AF	
PUBLIC FUNCTIONS (Artic	ele 3(4)(d) of the (Code)	
EMBERSHIP OF ASSOCIATIONS, PORGANISATIONS OR OTHER BODIES lease specify the name of the organisation ltural, artistic, social, sporting or charitab	S and its area of activ le fields does not ha	ity; membership of clubs in we to be declared.)	the
Nature of membership	Name of	the organisation	Area of activity of the organisation
Not a member of a political party.			
Jean-Pierre Raffarin (2005) and government of Dominique de Villepin (2005-2007).			
June 2015 – 24 October 2019 Chairman Non-remunerated office	Alliance for Soci (ASEP), Belgiun	etas Europaea Promotion	Non-profit association founded in Brussels by Thierry Breton for the purpose of promoting and publicising the Statute for a European Company (SE)
Member	Le Siècle		Think-tank
2016 – 28 October 2019 Member representing Atos	US Business Cou	ıncil	Business association
Note that Thierry Breton has not been a member of the Aspen Institute since 2005 when he was appointed as Minister for the Economy, Finance and Industry.			
VI. REAL ESTATE (Article 3(4))	(e) of the Code)		
(Homes reserved for the exclusive to EAL ESTATE		l his/her family do not need	to be declared.)
Description		Ownership: direct or t	hrough a real estate company
Mr Breton's properties are reserved for exc by him and his family.	clusive use		

SPOUSE'S/PARTNER'S(*) PROFESSIONAL ACTIVITY (Article 3(4)(f) of the Code) VII.

(*) Stable non matrimonial partner as defined in Article 1(2)(c) of Annex VII of the Staff Regulations.

SPOUSE'S/PARTNER'S PROFESSIONAL ACTIVITY

Nature of the activity	Title of the position	Name of the employe
Editor	Press service	Air France
_		
SIGNATURE		
hereby declare that the information given above is correct.		
Date:	is given above is correct	
7 November 2019	(*) Mandatory	
Signature:		